

BOARD SUBCOMMITTEE ASSURANCE REPORT

Presented for:	Information/Escalation
Committee Name:	Finance and Digital Committee
Presented by:	Ray Harding, Non-Executive Director (Committee Chair)
Date of Committee Meeting:	28 May 2021

Items received by the committee for assurance:

Agenda Item	Level of Assurance	Board Action Required? <small>(double click to select)</small>
2021/22 Budget Submission	Substantial Assurance	X
Investment Appraisals	Substantial Assurance	X
Digital Objectives and Plans for 2021/22	Substantial Assurance	<input type="checkbox"/>
Information Governance procedures	Partial Assurance	<input type="checkbox"/>
Risk Register	Reasonable Assurance	<input type="checkbox"/>
BAF	Reasonable Assurance	<input type="checkbox"/>

POINTS OF ESCALATION

- The Committee supported and recommended to the Board the Budget submission for the First Half of 2021/22, as agreed between meetings by the CEO, CFO, Chair of Committee and Chairman of the Board in accordance with previous delegation. This was Break-even, compared to the previously proposed £3.7m deficit, following the accrual for Recovery funds which would be due under the Activity plan.
- The Committee recommended to the Board for approval the following Investment proposals:
 - Backlog maintenance – £3.75m
 - Reconfiguration of Trauma and Orthopaedic – £1.9m per annum
 - Hinchingsbrooke Hospital – £2.4m Theatres, £2.6m Redevelopment

KEY ISSUES

- The Committee reviewed the Digital Objectives and Plans for 2021/22 and the Month1 report and gave them its support.
- Issues relating to Information Governance were discussed and a summary monthly report requested, incorporating: Data Privacy KPI's, Subject Access Requests and Reports to the Information Commissioner.
- Month 1 Financial report was reviewed.
- The Committee approved Capital Expenditure on RAAC at Hinchingsbrooke – £695k

<p style="text-align: center; color: #0070c0; margin: 0;">BOARD ASSURANCE FRAMEWORK & RISKS</p>	<ul style="list-style-type: none"> Board Assurance Framework and Risk Register were reviewed, with assurance that Financial and Digital Risks were now captured, with more detail required regarding Data Privacy. The BAF followed the NWAFT objectives and will be developed in more detail. Not all contracts with third party supplies have been uplifted with the necessary GDPR related terms and conditions – to follow-up.
<p style="text-align: center; color: #0070c0; margin: 0;">CELEBRATING OUTSTANDING PRACTICE & INNOVATION</p>	<ul style="list-style-type: none"> Jon Peate was thanked for his many years' service before stepping down recently due to ill-health.

LEVELS OF ASSURANCE

Level	Description of Level of Assurance
Substantial Assurance	The report highlighted a sound system of control, designed to address the relevant risks with controls being consistently applied. Highly unlikely to impair the achievement of both system and strategic objectives.
Reasonable Assurance	The report did not highlight any material weaknesses in the system of internal control that would present material risks to the achievement of both system and strategic objectives.
Partial Assurance	The report highlighted some material weaknesses in the system of internal control that would present material risks to the achievement of system objectives. May also impair achievement of strategic objectives.
Limited Assurance	The report highlighted significant material weaknesses in the system of internal control that would present material risks to the achievement of both system and strategic objectives.