

BOARD SUBCOMMITTEE ASSURANCE REPORT

Presented for:	Information/Escalation
Committee Name:	Finance and Digital Committee
Presented by:	Ray Harding, Non-Executive Director (Committee Chair)
Date of Committee Meeting:	20 December 2021

Items received by the committee for assurance:

Agenda Item	Level of Assurance	Board Action Required? <small>(double click to select)</small>
Finance Report	Substantial Assurance	
CIP Programme	Reasonable Assurance	
Financial Planning	Reasonable Assurance	
Capital programme	Reasonable Assurance	
Digital Report	Reasonable Assurance	
IMO	Reasonable Assurance	
BAF & Risk Register	Reasonable Assurance	

POINTS OF ESCALATION	<ul style="list-style-type: none"> The Finance Planning Process was reviewed. This will be produced in conjunction with ICS partners and be subject to review and approval by FDC and Board. Likely CIP target of 2.35%, at least.
KEY ISSUES	<ul style="list-style-type: none"> Month 8 abridged Financial report was reviewed, showing monthly performance in line with the revised Plan. The CIP Programme was reviewed: £9.4m had been identified out of the target of £10.1m for the year. FISS remains challenged and is currently subject to review; it is calculated that other divisions will be able to compensate, but some of this may be non-recurrent. The Capital Plan - RAAC expenditure progressing well. The M6 Digital Report was reviewed. The Digital Strategy will now come to FDC in January and Board in February/March. IMO – FOI and Subject Access Requests performance was reviewed - letters sent in error to be investigated and a report-back to the next meeting. The DSPT action plan is progressing and has been accepted by NHS Digital.
BOARD ASSURANCE FRAMEWORK & RISKS	<ul style="list-style-type: none"> The Shared Care Records project is behind schedule. This a joint project, the contract has been signed and Phase 1 will begin by March – completion by September.

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LEVELS OF ASSURANCE

Level	Description of Level of Assurance
Substantial Assurance	The report highlighted a sound system of control, designed to address the relevant risks with controls being consistently applied. Highly unlikely to impair the achievement of both system and strategic objectives.
Reasonable Assurance	The report did not highlight any material weaknesses in the system of internal control that would present material risks to the achievement of both system and strategic objectives.
Partial Assurance	The report highlighted some material weaknesses in the system of internal control that would present material risks to the achievement of system objectives. May also impair achievement of strategic objectives.
Limited Assurance	The report highlighted significant material weaknesses in the system of internal control that would present material risks to the achievement of both system and strategic objectives.